

Using the notation from the article by T. Bajbar and O. Stein, “Coercive polynomials and their Newton polytopes”<sup>1</sup>, the aim of this note is to prove that there are non-coercive polynomials that are coercive on the curves  $x_{y,\beta}(t) = (y_1 e^{\beta_1 t}, \dots, y_n e^{\beta_n t})$  with  $(y, \beta) \in \Omega$ :

**Proposition 1.** *Let  $f \in \mathbb{R}[X_1, X_2]$  given by*

$$f = (X_2 - X_1 - 1)^2 (X_1^2 + X_2^2).$$

*Then  $f$  is not coercive but  $\Omega \subset \Omega_f$ .*

The notion of an *asymptotic direction* of a curve captures some of the behaviour of the curve at infinity. Asymptotic directions are elements of the  $(n-1)$ -sphere  $\mathbb{S}^{n-1} = \{x \in \mathbb{R}^n : \|x\| = 1\}$ .

**Definition 2.** Let  $\gamma : \mathbb{R} \rightarrow \mathbb{R}^n$  be continuous with  $\lim_{t \rightarrow +\infty} \|\gamma(t)\| = +\infty$ . We say the curve  $\gamma$  has the asymptotic direction  $\omega \in \mathbb{S}^{n-1}$  if

$$\lim_{t \rightarrow +\infty} \frac{\gamma(t)}{\|\gamma(t)\|} = \omega,$$

or  $\mathcal{D}(\gamma) = \omega$  for short.

We can now compute the asymptotic directions of the curves  $x_{y,\beta}$  in  $n = 2$  dimensions. To this end let  $\text{sgn}(y)$  be the sign of  $y \in \mathbb{R}$  where  $\text{sgn}(0) := 0$ .

**Lemma 3.** *Let  $n = 2$  and  $(y, \beta) \in \Omega$ . Then an asymptotic direction for  $x_{y,\beta}$  exists. More precisely, for  $\beta$  we have exactly one of the following cases:*

- a)  $\beta_1 = 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (0, \text{sgn}(y_2))$  and  $x_{y,\beta}$  is a line parallel to the  $x_2$ -axis.
- b)  $\beta_2 = 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (\text{sgn}(y_1), 0)$  and  $x_{y,\beta}$  is a line parallel to the  $x_1$ -axis.
- c)  $\beta_1 < 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (0, \text{sgn}(y_2))$ .
- d)  $\beta_2 < 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (\text{sgn}(y_1), 0)$ .
- e)  $\beta_1 = \beta_2 > 0$ . Then  $\mathcal{D}(x_{y,\beta}) = \frac{y}{\|y\|}$  and  $x_{y,\beta}$  is a line through the origin.
- f)  $\beta_1 > \beta_2 > 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (\text{sgn}(y_1), 0)$ .
- g)  $\beta_2 > \beta_1 > 0$ . Then  $\mathcal{D}(x_{y,\beta}) = (0, \text{sgn}(y_2))$ .

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<sup>1</sup>Tomáš Bajbar and Oliver Stein. Coercive polynomials and their Newton polytopes. *SIAM Journal on Optimization*, 25(3):1542–1570, 2015.

*Proof.* Note that by definition of  $Y$ ,  $y_i \neq 0$  for all  $i \in [n]$  throughout this proof. As  $\beta \in B$ , we have  $\beta_1 \leq 0 \Rightarrow \beta_2 > 0$  and  $\beta_2 \leq 0 \Rightarrow \beta_1 > 0$ . Hence, a), b), c) and d) follow by standard arguments, we show c) as an example. Indeed, the first component of  $x_{y,\beta}(t)/\|x_{y,\beta}(t)\|$  converges to zero for  $t \rightarrow +\infty$  as the nominator is bounded and the denominator is unbounded. The second component is

$$\frac{y_2 e^{\beta_2 t}}{\sqrt{y_1^2 e^{2\beta_1 t} + y_2^2 e^{2\beta_2 t}}} = \frac{y_2 e^{\beta_2 t}}{|y_2| e^{\beta_2 t}} \frac{1}{\sqrt{\frac{y_1^2}{y_2^2} e^{2(\beta_1 - \beta_2)t} + 1}} = \frac{\operatorname{sgn}(y_2)}{\sqrt{\frac{y_1^2}{y_2^2} e^{2(\beta_1 - \beta_2)t} + 1}},$$

and the denominator converges to 1 for  $t \rightarrow +\infty$ , as  $\beta_1 < 0$  and  $\beta_2 > 0$ .

Also, e) is clear. To see f), we observe

$$(y_1 e^{\beta_1 t}, y_2 e^{\beta_2 t}) = e^{\beta_2 t} (y_1 e^{(\beta_1 - \beta_2)t}, y_2),$$

and by absolute homogeneity of the norm, the factor  $e^{\beta_2 t}$  has no influence on the asymptotic direction. So we may neglect it, and the asymptotic direction is the same as in b), i.e.  $\mathcal{D}(x_{y,(\beta_1, \beta_2)}) = \mathcal{D}(x_{y,(\beta_1 - \beta_2, 0)}) = (\operatorname{sgn}(y_1), 0)$ . The proof for g) is similar.  $\square$

The following lemma allows us to prove Proposition 1. Roughly speaking, the lemma says that if the asymptotic direction of a curve  $\gamma$  exists and is not parallel to one of the two ‘‘asymptotic directions’’ of the zero locus of  $g(x_1, x_2) := (x_2 - x_1 - 1)^2$ ,  $g$  cannot get arbitrarily small on  $\gamma(t)$  for  $t$  large.

**Lemma 4.** *Let  $\gamma : \mathbb{R} \rightarrow \mathbb{R}^2$  be continuous with  $\lim_{t \rightarrow +\infty} \|\gamma(t)\| = +\infty$  and  $\mathcal{D}(\gamma) = \omega \in \mathbb{S}^1$ . Put  $g := (X_2 - X_1 - 1)^2$ . If  $\omega \neq \pm \frac{(1,1)}{\|(1,1)\|}$  there is  $t_0 \in \mathbb{R}$  with*

$$g(\gamma(t)) \geq 1, \quad t \geq t_0.$$

*Proof.* Suppose the contrary and let  $\gamma = (\gamma_1, \gamma_2)$ . Thus, for every  $n \in \mathbb{N}$  there is  $t_n \geq n$  with

$$(\gamma_2(t_n) - \gamma_1(t_n) - 1)^2 < 1. \quad (1)$$

Now we add zero to find

$$\frac{(\gamma_1(t_n), \gamma_2(t_n))}{\|\gamma(t_n)\|} = \frac{(\gamma_1(t_n), \gamma_2(t_n) - \gamma_1(t_n) - 1 + \gamma_1(t_n) + 1)}{\|\gamma(t_n)\|}.$$

We observe, using equation (1) and  $\gamma(t) \rightarrow \infty$  for  $t \rightarrow \infty$ , that

$$\lim_{n \rightarrow \infty} \underbrace{\frac{\gamma_2(t_n) - \gamma_1(t_n) - 1}{\|\gamma(t_n)\|}}_{=: A_n} \rightarrow 0, \quad \lim_{n \rightarrow \infty} \underbrace{\frac{1}{\|\gamma(t_n)\|}}_{=: B_n} = 0.$$

This implies

$$\begin{aligned}\omega &= \lim_{n \rightarrow \infty} \frac{\gamma(t_n)}{\|\gamma(t_n)\|} = \lim_{n \rightarrow \infty} \left( \frac{\gamma_1(t_n)}{\|\gamma(t_n)\|}, \frac{\gamma_1(t_n)}{\|\gamma(t_n)\|} + A_n + B_n \right) \\ &= \lim_{n \rightarrow \infty} \frac{(\gamma_1(t_n), \gamma_1(t_n))}{\|\gamma(t_n)\|} = (\omega_1, \omega_1),\end{aligned}$$

hence  $\omega_2 = \omega_1$ . However, as  $\omega \in \mathbb{S}^1$ , this forces  $\omega = \pm(1, 1)/\|(1, 1)\|$ , contradicting the assumption on  $\omega$ .  $\square$

*Proof of Proposition 1.* To see that  $f(x_1, x_2) = (x_2 - x_1 - 1)^2(x_1^2 + x_2^2)$ , is not coercive, we observe that  $f = 0$  on the line  $x_2 = x_1 + 1$ . To prove that  $\Omega \subset \Omega_f$  we need to show

$$\lim_{t \rightarrow +\infty} \pi_f(y, \beta, t) = \lim_{t \rightarrow +\infty} f(x_{y,\beta}(t)) = +\infty, \quad (y, \beta) \in \Omega.$$

It is enough to show that  $(x_2 - x_1 - 1)^2 \geq 1$  on  $x_{y,\beta}(t)$  for large  $t$ , as the term  $x_1^2 + x_2^2$  grows without bound for large  $t$  on the curve  $x_{y,\beta}(t)$ . We make the same case distinction on all possible values of  $\beta$ . In view of Lemmata 3 and 4, it is now clear that all choices of  $\beta$  except possibly  $\beta_1 = \beta_2 > 0$ , that is case e), imply coercive behaviour of  $f$  on  $x_{y,\beta}(t)$ . So let  $\beta_1 = \beta_2 > 0$ , hence  $x_{y,\beta}$  suffices  $\mathcal{D}(x_{y,\beta}) = y/\|y\|$ . Lemma 4 tells us that we only need to consider the cases  $y/\|y\| = \pm(1, 1)/\|(1, 1)\|$ . However, we also know that  $x_{y,\beta}$  is a line through the origin, more precisely of the form  $(x_{y,\beta})_2(t) = (x_{y,\beta})_1(t) - 1$  hence  $|(x_{y,\beta})_2(t) - (x_{y,\beta})_1(t) - 1| = 1$  for all  $t$ , and  $f$  is coercive along  $x_{y,\beta}(t)$  in this case as well.  $\square$